FACTS	WHAT DOES LEFAVI WEALTH MANAGEMENT AND BRUCE A LEFAVI SECURITIES, INC. DO WITH YOUR PERSONAL INFORMATION?
WHY?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
WHAT?	The types of personal information we collect and share depend on the services we provide and the investments you hold in your account(s). This information can include: • Personal information such as name, address, and social security number • Transaction history and account history • Investment information • Medical information
HOW?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Lefavi Wealth Management, Inc. (LWM) and Bruce A Lefavi Securities, Inc. (BLS) choose to share; and whether you can limit this sharing.

REASONS WE CAN SHARE YOUR PERSONAL INFORMATION	DOES LWM AND BLS SHARE?	CAN YOU LIMIT THIS SHARING?
For our everyday business purposes — such as to process your transactions, maintain accounts, respond to court orders and legal investigations, or report to credit bureaus.	**Yes**, we will share account numbers, transaction data, investment history, and other details with financial institutions and as required by court orders and legal investigations.	**No** Limiting this information may limit our effectiveness as your adviser. Legal investigations and court orders cannot be limited.
For our affiliates' everyday business Purposes — Such as to process transactions, maintain accounts, respond to court orders and legal investigations, or report to credit bureaus.	**Yes**, information on transactions and experiences is shared with our affiliated broker-dealer, **BLS**, other financial institutions, and as required by court orders and legal investigations.	**No** Limiting this information may limit our effectiveness as your adviser. Legal investigations and court orders cannot be limited.
For our marketing purposes — To offer our services to you	**Yes**, we may share contact information with third-party vendors whom we have contracted for the purpose of marketing our services to you.	**YES** You may limit this information if you do not wish to receive marketing information from LWM or BLS.
For our affiliates' marketing purposes — To offer our affiliated broker-dealer's services to you	**Yes,** we will share contact information with our affiliated broker-dealer, **BLS**, for marketing purposes.	**YES** You may limit this information if you do not wish to receive marketing information from LWM or BLS.
For joint marketing with other financial companies	**No**, we do not share customer information with our joint marketing partners.	**N/A**
For nonaffiliates to market to you	**No**, we never share personal information with nonaffiliated companies for marketing purposes.	**N/A**

To limit our sharing	• **Call 801-478-8558 or toll free (800) 998-2427** • **Send an email to Compliance@lefavi.com** **PLEASE NOTE:** If you are a new customer, we can begin sharing your information 30 days from the date we sent this notice. When you are no longer a customer, we no longer share your information.
Questions?	• **Call 801-478-8558 or toll free (800) 998-2427**

Who we are:	
Who is providing this notice?	This notice is provided on behalf of Lefavi Wealth Management, Inc. (LWM) and Bruce A Lefavi Securities, Inc. (BLS)
What we do:	
How does LWM and BLS protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does LWM and BLS collect my personal information?	We collect your personal information, for example, when you • Open an account or deposit money • Attend one of our events • Share information regarding your accounts with our affiliated clearing firm • Submit medical information as part of an insurance application for a traditional life or variable life policy • Share information with service bureaus or other third parties
Why can't I limit all sharing?	Federal law gives you the right to limit only • Sharing for affiliates' everyday business purposes — information about your creditworthiness • Affiliates from using your information to market to you • Sharing for nonaffiliates to market to you State laws and individual companies may give you additional rights to limit sharing.
What happens when I limit sharing for an account I hold jointly with someone else?	Limits placed on the sharing of information apply to the entire household — unless you tell us otherwise.
Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. Our affiliates include Lefavi Wealth Management and Bruce A Lefavi Securities.
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. LWM and BLS have several relationships with other companies including CPAs, Estate planners, Insurance companies, Custodians, Clearing Firms, Issuers, and other investment advisers and broker dealers.
Joint Marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. Many of Lefavi Wealth Management's events are co-sponsored by other financial companies.

Additional Information

If Lefavi Wealth Management and/or Bruce A Lefavi Securities, Inc. does share your information with other organizations, it is only to the extent necessary. Any information that we provide to affiliated businesses and marketing partners is restricted as to content and recipient. Any organization that receives such information must provide us with a copy of its privacy policy. If you limit sharing of your information as described in this notice, you will have to contact us again in order to stop limiting sharing. Under no circumstances does Lefavi Wealth Management or Bruce A Lefavi Securities sell information about you to anyone.